

# 1

## Computational Geometry: Generalized Intersection Searching

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### 1.1 Geometric intersection searching problems

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Problems arising in diverse areas, such as VLSI layout design, database querying, robotics, and computer graphics can often be formulated as *geometric intersection searching problems*. In a generic instance of such a problem, a set,  $S$ , of geometric objects is to be preprocessed into a suitable data structure so that given a query object,  $q$ , we can answer efficiently questions regarding the intersection of  $q$  with the objects in  $S$ . The problem comes in four versions, depending on whether we want to report the intersected objects or simply count their number—the *reporting* version and the *counting* version, respectively—and whether  $S$  remains fixed or changes through insertion and deletion of objects—the *static* version and the *dynamic* version, respectively. In the dynamic version, which arises very often owing to the highly interactive nature of the above-mentioned applications, we wish to perform the updates more efficiently than simply recomputing the data structure from scratch after each update, while simultaneously maintaining fast query response times. We call these problems *standard* intersection searching problems in order to distinguish them from the *generalized* intersection searching problems that are the focus of this chapter. Due to their numerous applications, standard intersection searching problems have been the subject of much study and efficient solutions have been devised for many of them (see, for instance, [4, 13] and the references therein).

The efficiency of a standard intersection searching algorithm is measured by the space used by the data structure, the query time, and, in the dynamic setting, the update time. In a counting problem, these are expressed as a function of the input size  $n$  (i.e., the size of  $S$ ); in a reporting problem, the space and update time are expressed as a function of  $n$ ,

whereas the query time is expressed as a function of both  $n$  and the output size  $k$  (i.e., the number of intersected objects) and is typically of the form  $O(f(n) + k)$  or  $O(f(n) + k \cdot g(n))$ , for some functions  $f$  and  $g$ . Such a query time is called *output-sensitive*.

### 1.1.1 Generalized intersection searching

In many applications, a more general form of intersection searching arises: Here the objects in  $S$  come aggregated in disjoint groups and of interest are questions regarding the intersection of  $q$  with the groups rather than with the objects. ( $q$  intersects a group if and only if it intersects some object in the group.) In our discussion, it will be convenient to associate with each group a different color and imagine that all the objects in the group have that color. Then, in the *generalized reporting* (resp., *generalized counting*) problem, we want to report (resp., count) the distinct colors intersected by  $q$ ; in the dynamic setting, an object of some (possibly new) color is inserted in  $S$  or an object in  $S$  is deleted. Note that the generalized problem reduces to the standard one when each color class has cardinality 1.

We give two examples of such generalized problems: Consider a database of mutual funds which contains for each fund its annual total return and its beta (a real number measuring the fund's volatility). Thus each fund can be represented as a point in two dimensions. Moreover, funds are aggregated into groups according to the fund family they belong to. A typical query is to determine the families that offer funds whose total return is between, say, 15% and 20%, and whose beta is between, say, 0.9 and 1.1. This is an instance of the generalized 2-dimensional range searching problem. The output of this query enables a potential investor to initially narrow his/her search to a few families instead of having to plow through dozens of individual funds (all from the same small set of families) that meet these criteria. As another example, in the Manhattan layout of a VLSI chip, the wires (line segments) can be grouped naturally according to the circuits they belong to. A problem of interest to the designer is determining which circuits (rather than wires) become electrically connected when a new wire is added. This is an instance of the generalized orthogonal segment intersection searching problem.

One approach to solving a generalized problem is to try to take advantage of solutions known for the corresponding standard problem. For instance, we can solve a generalized reporting problem by first determining the objects intersected by  $q$  (a standard reporting problem) and then reading off the distinct colors. However, the query time can be very high since  $q$  could intersect  $\Omega(n)$  objects but only  $O(1)$  distinct colors. For a generalized reporting problem, we seek query times that are sensitive to the number,  $i$ , of distinct colors intersected, typically of the form  $O(f(n) + i)$  or  $O(f(n) + i \cdot g(n))$ , where  $f$  and  $g$  are polylogarithmic. (This is attainable using the approach just described if each color class has cardinality  $O(1)$ . On the other hand, if there are only  $O(1)$  different color classes, we could simply run a standard algorithm on each color class in turn, stopping as soon as an intersection is found and reporting the corresponding color. The real challenge is when the number of color classes and the cardinalities of the color classes are not constants, but rather are (unknown) functions of  $n$ ; throughout, we will assume this to be the case.) For a generalized counting problem, the situation is worse; it is not even clear how one can extract the answer for such a problem from the answer (a mere count) to the corresponding standard problem. One could, of course, solve the corresponding reporting problem and then count the colors, but this is not efficient. Thus it is clear that different techniques are needed.

In this chapter, we describe the research that has been conducted over the past few years on generalized intersection searching problems. We begin with a brief review of known results and then discuss a variety of techniques for these problems. For each technique,

we give illustrative examples and provide pointers to related work. We conclude with a discussion of possible directions for further research.

## 1.2 Summary of known results

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Generalized intersection searching problems were introduced by Janardan and Lopez in [23]. Subsequent work in this area may be found in [2, 3, 6, 7, 8, 16, 18, 19, 20, 21, 22, 29]. (Some of these results are also reported in two Ph.D. theses [17, 30].) In this section, we give a broad overview of the work on these problems to date; details may be found in the cited references.

### 1.2.1 Axes-parallel objects

In [23], efficient solutions were given for several generalized reporting problems, where the input objects and the query were axes-parallel. Examples of such input/query pairs considered include: points/interval in  $\mathbb{R}^1$ ; line segments/segment, points/rectangle, and rectangles/rectangle, all in  $\mathbb{R}^2$ ; and rectangles/points in  $\mathbb{R}^d$ , where  $d \geq 2$  is a constant. Several of these results were further extended in [18] to include counting and/or dynamic reporting, and new results were presented for input/query pairs such as intervals/interval in  $\mathbb{R}^1$ , points/quadrant in  $\mathbb{R}^2$ , and points/rectangle in  $\mathbb{R}^3$ . Furthermore, a new type of counting problem, called a *type-2 counting problem* was also introduced, where the goal was to count for each color intersected the number of objects of that color that are intersected. In [6], improved solutions were given for counting and/or reporting problems involving points/interval in  $\mathbb{R}^1$ , points/rectangle in  $\mathbb{R}^2$ , and line segments/segment in  $\mathbb{R}^2$ .

### 1.2.2 Arbitrarily-oriented objects

Efficient solutions were given in [23] for generalized reporting on non-intersecting line segments using a query line segment. Special, but interesting, cases of intersecting line segments, such as when each color class forms a polygon or a connected component, were considered in [3]. Efficient solutions were given in [19] for input/query pairs consisting of points/halfspace in  $\mathbb{R}^d$ , points/fat-triangle, and fat-triangles/point in  $\mathbb{R}^2$ . (A *fat-triangle* is a triangle where each internal angle is at least a user-specified constant, hence "well-shaped".) Some of these results were improved subsequently in [6]. In [20], alternative bounds were obtained for the fat-triangle problems within the framework of a general technique for adding range restriction capability to a generalized data structure. Results were presented in [8] for querying, with a polygon, a set of polygons whose sides are oriented in at most a constant number of different directions, with a polygon. In [30], a general method was given for querying intersecting line segments with a segment and for querying points in  $\mathbb{R}^d$  with a halfspace or a simplex. Generalized problems involving various combinations of circular objects (circles, discs, annuli) and points, lines, and line segments were considered in [21].

### 1.2.3 Problems on the grid

Problems involving document retrieval or string manipulation can often be cast in the framework of generalized intersection searching. For example, in the context of document retrieval, the following problem (among others) was considered in [29]: Preprocess an array of colored non-negative integers (i.e., points on the 1-dimensional grid) such that, given

two indices into the array, each distinct color for which there is a pair of points in the index range at distance less than a specified constant can be reported efficiently. In the context of substring indexing, the following problem was considered in [16]: Preprocess a set of colored points on the 1-dimensional grid, so that given two non-overlapping intervals, the list of distinct colors that occur in their intersection can be reported efficiently. I/O efficient algorithms were given in the standard external memory model [31] for this problem. Other grid-related work in this area includes [2], where efficient solutions were given for the points/rectangle and rectangles/point problems, under the condition that the input and query objects lie on a  $d$ -dimensional grid.

#### 1.2.4 Single-shot problems

In this class of problems, we are given a collection of geometric objects and the goal is to report all pairs that intersect. Note that there is no query object as such here and no notion of preprocessing the input. As an example, suppose that we are given a set of convex polygons with a total of  $n$  vertices in  $\mathbb{R}^2$ , and we wish to report or count all pairs that intersect, with the goal of doing this in time proportional to the number of intersecting pairs (i.e., output-sensitively). If the number of polygons and their sizes are both functions of  $n$  (instead of one or the other being a constant), then, as discussed in [22], standard methods (e.g., testing each pair of polygons or computing all boundary intersections and polygon containments in the input) are inefficient. In [22], an efficient and output-sensitive algorithm was given for this problem. Each polygon was assigned a color and then decomposed into simpler elements, i.e., trapezoids, of the same color. The problem then became one of reporting all distinct color pairs  $(c_1, c_2)$  such that a trapezoid of color  $c_1$  intersects one of color  $c_2$ . An improved algorithm was given subsequently in [1] for both  $\mathbb{R}^2$  and  $\mathbb{R}^3$ . Other related work on such colored single-shot problems may be found in [7].

### 1.3 Techniques

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We describe in some detail five main techniques that have emerged for generalized intersection searching over the past few years. Briefly, these include: an approach based on a geometric transformation, an approach based on generating a sparse representation of the input, an approach based on persistent data structures, a generic method that is applicable to any reporting problem, and an approach for searching on a subset of the input satisfying a specified range restriction. We illustrate each method with examples.

#### 1.3.1 A transformation-based approach

We first illustrate a transformation-based approach for the reporting and counting problems, which converts the original generalized reporting/counting problem to an instance of a related standard reporting/counting problem on which efficient known solutions can be brought to bear. We illustrate this approach by considering the generalized 1-dimensional range searching problem. Let  $S$  be a set of  $n$  colored points on the  $x$ -axis. We show how to preprocess  $S$  so that for any query interval  $q$ , we can solve efficiently the dynamic reporting problem, the static and dynamic counting problems, and the static type-2 counting problem. The solutions for the dynamic reporting problem and the static and dynamic counting problems are from [18]. The type-2 counting solution is from [6].

We first describe the transformation. For each color  $c$ , we sort the distinct points of that color by increasing  $x$ -coordinate. For each point  $p$  of color  $c$ , let  $pred(p)$  be its predecessor

of color  $c$  in the sorted order; for the leftmost point of color  $c$ , we take the predecessor to be the point  $-\infty$ . We then map  $p$  to the point  $p' = (p, \text{pred}(p))$  in the plane and associate with it the color  $c$ . Let  $S'$  be the resulting set of points. Given a query interval  $q = [l, r]$ , we map it to the grounded rectangle  $q' = [l, r] \times (-\infty, l)$ .

**LEMMA 1.1** There is a point of color  $c$  in  $S$  that is in  $q = [l, r]$  if and only if there is a point of color  $c$  in  $S'$  that is in  $q' = [l, r] \times (-\infty, l)$ . Moreover, if there is a point of color  $c$  in  $q'$ , then this point is unique.

**Proof** Let  $p'$  be a  $c$ -colored point in  $q'$ , where  $p' = (p, \text{pred}(p))$  for some  $c$ -colored point  $p \in S$ . Since  $p'$  is in  $[l, r] \times (-\infty, l)$ , it is clear that  $l \leq p \leq r$  and so  $p \in [l, r]$ .

For the converse, let  $p$  be the leftmost point of color  $c$  in  $[l, r]$ . Thus  $l \leq p \leq r$  and since  $\text{pred}(p) \notin [l, r]$ , we have  $l > \text{pred}(p)$ . It follows that  $p' = (p, \text{pred}(p))$  is in  $[l, r] \times (-\infty, l)$ . We prove that  $p'$  is the only point of color  $c$  in  $q'$ . Suppose for a contradiction that  $t' = (t, \text{pred}(t))$  is another point of color  $c$  in  $q'$ . Thus we have  $l \leq t \leq r$ . Since  $t > p$ , we also have  $\text{pred}(t) \geq p \geq l$ . Thus  $t' = (t, \text{pred}(t))$  cannot lie in  $q'$ —a contradiction. ■

Lemma 1.1 implies that we can solve the generalized 1-dimensional range reporting (resp., counting) problem by simply reporting the points in  $q'$  (resp., counting the number of points in  $q'$ ), without regard to colors. In other words, we have reduced the generalized reporting (resp., counting) problem to the standard grounded range reporting (resp., counting) problem in two dimensions. In the dynamic case, we also need to update  $S'$  when  $S$  is updated. We discuss these issues in more detail below.

### The dynamic reporting problem

Our data structure consists of the following: For each color  $c$ , we maintain a balanced binary search tree,  $T_c$ , in which the  $c$ -colored points of  $S$  are stored in increasing  $x$ -order. We maintain the colors themselves in a balanced search tree  $CT$ , and store with each color  $c$  in  $CT$  a pointer to  $T_c$ . We also store the points of  $S'$  in a *balanced priority search tree* (*PST*) [28]. (Recall that a *PST* on  $m$  points occupies  $O(m)$  space, supports insertions and deletions in  $O(\log m)$  time, and can be used to report the  $k$  points lying inside a grounded query rectangle in  $O(\log m + k)$  time [28]. Although this query is designed for query ranges of the form  $[l, r] \times (-\infty, l]$ , it can be trivially modified to ignore the points on the upper edge of the range without affecting its performance.) Clearly, the space used by the entire data structure is  $O(n)$ , where  $n = |S|$ .

To answer a query  $q = [l, r]$ , we simply query the *PST* with  $q' = [l, r] \times (-\infty, l)$  and report the colors of the points found. Correctness follows from Lemma 1.1. The query time is  $O(\log n + k)$ , where  $k$  is the number of points inside  $q'$ . By Lemma 1.1,  $k = i$ , and so the query time is  $O(\log n + i)$ .

Suppose that a  $c$ -colored point  $p$  is to be inserted into  $S$ . If  $c \notin CT$ , then we create a tree  $T_c$  containing  $p$ , insert  $p' = (p, -\infty)$  into the *PST*, and insert  $c$ , with a pointer to  $T_c$ , into  $CT$ . Suppose that  $c \in CT$ . Let  $u$  be the successor of  $p$  in  $T_c$ . If  $u$  exists, then we set  $\text{pred}(p)$  to  $\text{pred}(u)$  and  $\text{pred}(u)$  to  $p$ ; otherwise, we set  $\text{pred}(p)$  to the rightmost point in  $T_c$ . We then insert  $p$  into  $T_c$ ,  $p' = (p, \text{pred}(p))$  into the *PST*, delete the old  $u'$  from the *PST*, and insert the new  $u'$  into it.

Deletion of a point  $p$  of color  $c$  is essentially the reverse. We delete  $p$  from  $T_c$ . Then we delete  $p'$  from the *PST* and if  $p$  had a successor,  $u$ , in  $T_c$  then we reset  $\text{pred}(u)$  to  $\text{pred}(p)$ , delete the old  $u'$  from the *PST*, and insert the new one. If  $T_c$  becomes empty in the process,

then we delete  $c$  from  $CT$ . Clearly, the update operations are correct and take  $O(\log n)$  time.

**THEOREM 1.1** *Let  $S$  be a set of  $n$  colored points on the real line.  $S$  can be preprocessed into a data structure of size  $O(n)$  such that the  $i$  distinct colors of the points of  $S$  that are contained in any query interval can be reported in  $O(\log n + i)$  time and points can be inserted and deleted online in  $S$  in  $O(\log n)$  time.*

For the static reporting problem, we can dispense with  $CT$  and the  $T_c$ 's and simply use a static form of the  $PST$  to answer queries. This provides a simple  $O(n)$ -space,  $O(\log n + i)$ -query time alternative to another solution given in [23].

### The static counting problem

We store the points of  $S'$  in non-decreasing  $x$ -order at the leaves of a balanced binary search tree,  $T$ , and store at each internal node  $t$  of  $T$  an array  $A_t$  containing the points in  $t$ 's subtree in non-decreasing  $y$ -order. The total space is clearly  $O(n \log n)$ . To answer a query, we determine  $O(\log n)$  canonical nodes  $v$  in  $T$  such that the query interval  $[l, r]$  covers  $v$ 's range but not the range of  $v$ 's parent. Using binary search we determine in each canonical node's array the highest array position containing an entry less than  $l$  (and thus the number of points in that node's subtree that lie in  $q'$ ) and add up the positions thus found at all canonical nodes. The correctness of this algorithm follows from Lemma 1.1. The total query time is  $O(\log^2 n)$ .

We can reduce the query time to  $O(\log n)$  as follows: At each node  $t$  we create a linked list,  $B_t$ , which contains the same elements as  $A_t$  and maintain a pointer from each entry of  $B_t$  to the same entry in  $A_t$ . We then apply the technique of fractional cascading [9] to the  $B$ -lists, so that after an initial  $O(\log n)$ -time binary search in the  $B$ -list of the root, the correct positions in the  $B$ -lists of all the canonical nodes can be found directly in  $O(\log n)$  total time. (To facilitate binary search in the root's  $B$ -list, we build a balanced search tree on it after the fractional cascading step.) Once the position in a  $B$ -list is known, the appropriate position in the corresponding  $A$ -array can be found in  $O(1)$  time.

It is possible to reduce the space slightly (to  $O(n \log n / \log \log n)$ ) at the expense of a larger query time ( $O(\log^2 n / \log \log n)$ ), by partitioning the points of  $S'$  recursively into horizontal strips of a certain size and doing binary search, augmented with fractional cascading, within the strips. Details can be found in [18].

**THEOREM 1.2** *Let  $S$  be a set of  $n$  colored points on the real line.  $S$  can be preprocessed into a data structure of size  $O(n \log n)$  (resp.,  $O(n \log n / \log \log n)$ ) such that the number of distinctly-colored points of  $S$  that are contained in any query interval can be determined in  $O(\log n)$  (resp.,  $O(\log^2 n / \log \log n)$ ) time.*

### The dynamic counting problem

We store the points of  $S'$  using the same basic two-level tree structure as in the first solution for the static counting problem. However,  $T$  is now a  $BB(\alpha)$  tree [32] and the auxiliary structure,  $D(t)$ , at each node  $t$  of  $T$  is a balanced binary search tree where the points are stored at the leaves in left to right order by non-decreasing  $y$ -coordinate. To facilitate the querying, each node  $v$  of  $D(t)$  stores a count of the number of points in its subtree. Given a real number,  $l$ , we can determine in  $O(\log n)$  time the number of points in  $D(t)$  that have  $y$ -coordinate less than  $l$  by searching for  $l$  in  $D(t)$  and adding up the count for each node

of  $D(t)$  that is not on the search path but is the left child of a node on the path. It should be clear that  $D(t)$  can be maintained in  $O(\log n)$  time under updates.

In addition to the two-level structure, we also use the trees  $T_c$  and the tree  $CT$ , described previously, to maintain the correspondence between  $S$  and  $S'$ . We omit further discussion about the maintenance of these trees.

Queries are answered as in the static case, except that at each auxiliary structure we use the above-mentioned method to determine the number of points with  $y$ -coordinate less than  $l$ . Thus the query time is  $O(\log^2 n)$ . (We cannot use fractional cascading here.)

Insertion/deletion of a point is done using the worst-case updating strategy for  $BB(\alpha)$  trees, and take  $O(\log^2 n)$  time.

**THEOREM 1.3** *Let  $S$  be a set of  $n$  colored points on the real line.  $S$  can be preprocessed into a data structure of size  $O(n \log n)$  such that the number of distinctly-colored points of  $S$  that are contained in any query interval can be determined in  $O(\log^2 n)$  time and points can be inserted and deleted online in  $S$  in  $O(\log^2 n)$  worst-case time.*

### The static type-2 problem

We wish to preprocess a set  $S$  of  $n$  colored points on the  $x$ -axis, so that for each color intersected by a query interval  $q = [l, r]$ , the number of points of that color in  $q$  can be reported efficiently. The solution for this problem originally proposed in [18] takes  $O(n \log n)$  space and supports queries in  $O(\log n + i)$  time. The space bound was improved to  $O(n)$  in [6], as follows.

The solution consists of two priority search trees,  $PST_1$  and  $PST_2$ .  $PST_1$  is similar to the priority search tree built on  $S'$  in the solution for the dynamic reporting problem, with an additional count stored at each node. Let  $p' = (p, \text{pred}(p))$  be the point that is stored at a node in  $PST_1$  and  $c$  the color of  $p$ . Then at this node, we store an additional number  $t_1(p')$ , which is the number of points of color  $c$  to the right of  $p$ .

$PST_2$  is based on a transformation that is symmetric to the one used for  $PST_1$ . For each color  $c$ , we sort the distinct points of that color by increasing  $x$ -coordinate. For each point  $p$  of color  $c$ , let  $\text{next}(p)$  be its successor in the sorted order; for the rightmost point of color  $c$ , we take the successor to be the point  $+\infty$ . We then map  $p$  to the point  $p'' = (p, \text{next}(p))$  in the plane and associate with it the color  $c$ . Let  $S''$  be the resulting set of points. We build  $PST_2$  on  $S''$ , with an additional count stored at each node. Let  $p'' = (p, \text{next}(p))$  be the point that is stored at a node in  $PST_2$  and  $c$  the color of  $p$ . Then at this node, we store an additional number  $t_2(p'')$ , which is the number of points of color  $c$  to the right of  $\text{next}(p)$ .

We also maintain an auxiliary array  $A$  of size  $n$ . Given a query  $q = [l, r]$ , we query  $PST_1$  with  $q' = [l, r] \times (-\infty, l)$  and for each color  $c$  found, we set  $A[c] = t_1(p')$ , where  $p'$  is the point stored at the node where we found  $c$ . Then we query  $PST_2$  with  $q'' = [l, r] \times (r, +\infty)$  and for each color  $c$  found, we report  $c$  and  $A[c] - t_2(p'')$ , where  $p''$  is the point stored at the node where we found  $c$ . This works because the queries on  $PST_1$  and  $PST_2$  effectively find the leftmost and rightmost points of color  $c$  in  $q = [l, r]$  (cf. proof of Lemma 1.1). Thus,  $A[c] - t_2(p'')$  gives the number of points of color  $c$  in  $q$ .

**THEOREM 1.4** *A set  $S$  of  $n$  colored points on the real line can be preprocessed into a data structure of size  $O(n)$  such that for any query interval, a type-2 counting query can be answered in  $O(\log n + i)$  time, where  $i$  is the output size.*

### 1.3.2 A sparsification-based approach

The idea behind this approach is to generate from the given set,  $S$ , of colored objects a colored set,  $S'$ —possibly consisting of different objects than those in  $S$ —such that a query object  $q$  intersects an object in  $S$  if and only if it intersects at most a constant number of objects in  $S'$ . This allows us to use a solution to a standard problem on  $S'$  to solve the generalized reporting problem on  $S$ . (In the case of a generalized counting problem, the requirement is more stringent: exactly one object in  $S'$  must be intersected.) We illustrate this method with the generalized halfspace range searching problem in  $\mathbb{R}^d$ ,  $d = 2, 3$ .

#### Generalized halfspace range searching in $\mathbb{R}^2$ and $\mathbb{R}^3$

Let  $S$  be a set of  $n$  colored points in  $\mathbb{R}^d$ ,  $d = 2, 3$ . We show how to preprocess  $S$  so that for any query hyperplane  $Q$ , the  $i$  distinct colors of the points lying in the closed halfspace  $Q^-$  (i.e., below  $Q$ ) can be reported or counted efficiently. Without loss of generality, we may assume that  $Q$  is non-vertical since vertical queries are easy to handle. The approach described here is from [19].

We denote the coordinate directions by  $x_1, x_2, \dots, x_d$ . Let  $\mathcal{F}$  denote the well-known point-hyperplane duality transform [15]: If  $p = (p_1, \dots, p_d)$  is a point in  $\mathbb{R}^d$ , then  $\mathcal{F}(p)$  is the hyperplane  $x_d = p_1x_1 + \dots + p_{d-1}x_{d-1} - p_d$ . If  $H : x_d = a_1x_1 + \dots + a_{d-1}x_{d-1} + a_d$  is a (non-vertical) hyperplane in  $\mathbb{R}^d$ , then  $\mathcal{F}(H)$  is the point  $(a_1, \dots, a_{d-1}, -a_d)$ . It is easily verified that  $p$  is above (resp. on, below)  $H$ , in the  $x_d$ -direction, if and only if  $\mathcal{F}(p)$  is below (resp. on, above)  $\mathcal{F}(H)$ . Note also that  $\mathcal{F}(\mathcal{F}(p)) = p$  and  $\mathcal{F}(\mathcal{F}(H)) = H$ .

Using  $\mathcal{F}$  we map  $S$  to a set  $S'$  of hyperplanes and map  $Q$  to the point  $q = \mathcal{F}(Q)$ , both in  $\mathbb{R}^d$ . Our problem is now equivalent to: “Report or count the  $i$  distinct colors of the hyperplanes lying on or above  $q$ , i.e., the hyperplanes that are intersected by the vertical ray  $r$  emanating upwards from  $q$ .”

Let  $S_c$  be the set of hyperplanes of color  $c$ . For each color  $c$ , we compute the *upper envelope*  $E_c$  of the hyperplanes in  $S_c$ .  $E_c$  is the locus of the points of  $S_c$  of maximum  $x_d$ -coordinate for each point on the plane  $x_d = 0$ .  $E_c$  is a  $d$ -dimensional convex polytope which is unbounded in the positive  $x_d$ -direction. Its boundary is composed of  $j$ -faces,  $0 \leq j \leq d-1$ , where each  $j$ -face is a  $j$ -dimensional convex polytope. Of particular interest to us are the  $(d-1)$ -faces of  $E_c$ , called *facets*. For instance, in  $\mathbb{R}^2$ ,  $E_c$  is an unbounded convex chain and its facets are line segments; in  $\mathbb{R}^3$ ,  $E_c$  is an unbounded convex polytope whose facets are convex polygons.

Let us assume that  $r$  is well-behaved in the sense that for no color  $c$  does  $r$  intersect two or more facets of  $E_c$  at a common boundary—for instance, a vertex in  $\mathbb{R}^2$  and an edge or a vertex in  $\mathbb{R}^3$ . (This assumption can be removed; details can be found in [19].) Then, by definition of the upper envelope, it follows that (i)  $r$  intersects a  $c$ -colored hyperplane if and only if  $r$  intersects  $E_c$  and, moreover, (ii) if  $r$  intersects  $E_c$ , then  $r$  intersects a unique facet of  $E_c$  (in the interior of the facet). Let  $\mathcal{E}$  be the collection of the envelopes of the different colors. By the above discussion, our problem is equivalent to: “Report or count the facets of  $\mathcal{E}$  that are intersected by  $r$ ”, which is a standard intersection searching problem. We will show how to solve efficiently this ray-envelope intersection problem in  $\mathbb{R}^2$  and in  $\mathbb{R}^3$ . This approach does not give an efficient solution to the generalized halfspace searching problem in  $\mathbb{R}^d$  for  $d > 3$ ; for this case, we will give a different solution in Section 1.3.4.

To solve the ray-envelope intersection problem in  $\mathbb{R}^2$ , we project the endpoints of the line segments of  $\mathcal{E}$  on the  $x$ -axis, thus partitioning it into  $2n + 1$  *elementary intervals* (some of which may be empty). We build a *segment tree*  $T$  which stores these elementary intervals at the leaves. Let  $v$  be any node of  $T$ . We associate with  $v$  an  $x$ -interval  $I(v)$ , which is the union of the elementary intervals stored at the leaves in  $v$ 's subtree. Let  $Strip(v)$  be the



vertical strip defined by  $I(v)$ . We say that a segment  $s \in \mathcal{E}$  is *allocated* to a node  $v \in T$  if and only if  $I(v) \neq \emptyset$  and  $s$  crosses  $\text{Strip}(v)$  but not  $\text{Strip}(\text{parent}(v))$ . Let  $\mathcal{E}(v)$  be the set of segments allocated to  $v$ . Within  $\text{Strip}(v)$ , the segments of  $\mathcal{E}(v)$  can be viewed as lines since they cross  $\text{Strip}(v)$  completely. Let  $\mathcal{E}'(v)$  be the set of points dual to these lines. We store  $\mathcal{E}'(v)$  in an instance  $D(v)$  of the standard halfplane reporting (resp. counting) structure for  $\mathbb{R}^2$  given in [10] (resp. [26]). This structure uses  $O(m)$  space and has a query time of  $O(\log m + k_v)$  (resp.  $O(m^{1/2})$ ), where  $m = |\mathcal{E}(v)|$  and  $k_v$  is the output size at  $v$ .

To answer a query, we search in  $T$  using  $q$ 's  $x$ -coordinate. At each node  $v$  visited, we need to report or count the lines intersected by  $r$ . But, by duality, this is equivalent to answering, in  $\mathbb{R}^2$ , a halfplane query at  $v$  using the query  $\mathcal{F}(q)^- = Q^-$ , which we do using  $D(v)$ . For the reporting problem, we simply output what is returned by the query at each visited node; for the counting problem, we return the sum of the counts obtained at the visited nodes.

**THEOREM 1.5** *A set  $S$  of  $n$  colored points in  $\mathbb{R}^2$  can be stored in a data structure of size  $O(n \log n)$  so that the  $i$  distinct colors of the points contained in any query halfplane can be reported (resp. counted) in time  $O(\log^2 n + i)$  (resp.  $O(n^{1/2})$ ).*

**Proof** Correctness follows from the preceding discussion. As noted earlier, there are  $O(|S_c|)$  line segments (facets) in  $E_c$ ; thus  $|\mathcal{E}| = O(\sum_c |S_c|) = O(n)$  and so  $|T| = O(n)$ . Hence each segment of  $\mathcal{E}$  can get allocated to  $O(\log n)$  nodes of  $T$ . Since the structure  $D(v)$  has size linear in  $m = |\mathcal{E}(v)|$ , the total space used is  $O(n \log n)$ . For the reporting problem, the query time at a node  $v$  is  $O(\log m + k_v) = O(\log n + k_v)$ . When summed over the  $O(\log n)$  nodes visited, this gives  $O(\log^2 n + i)$ . To see this, recall that the ray  $r$  can intersect at most one envelope segment of any color; thus the terms  $k_v$ , taken over all nodes  $v$  visited, sum to  $i$ .

For the counting problem, the query time at  $v$  is  $O(m^{1/2})$ . It can be shown that if  $v$  has depth  $j$  in  $T$ , then  $m = |\mathcal{E}(v)| = O(n/2^j)$ . (See, for instance, [12, page 675].) Thus, the overall query time is  $O(\sum_{j=0}^{O(\log n)} (n/2^j)^{1/2})$ , which is  $O(n^{1/2})$ . ■

In  $\mathbb{R}^3$ , the approach is similar, but more complex. Our goal is to solve the ray-envelope intersection problem in  $\mathbb{R}^3$ . As shown in [19], this problem can be reduced to certain standard halfspace range queries in  $\mathbb{R}^3$  on a set of triangles (obtained by triangulating the  $E_c$ 's.) This problem can be solved by building a segment tree on the  $x$ -spans of the triangles projected to the  $xy$ -plane and augmenting each node of this tree with a data structure based on partition trees [25] or cutting trees [24] to answer the halfplane queries. Details may be found in [19].

**THEOREM 1.6** *The reporting version of the generalized halfspace range searching problem for a set of  $n$  colored points in  $\mathbb{R}^3$  can be solved in  $O(n \log^2 n)$  (resp.  $O(n^{2+\epsilon})$ ) space and  $O(n^{1/2+\epsilon} + i)$  (resp.  $O(\log^2 n + i)$ ) query time, where  $i$  is the output size and  $\epsilon > 0$  is an arbitrarily small constant. The counting version is solvable in  $O(n \log n)$  space and  $O(n^{2/3+\epsilon})$  query time.*

Additional examples of the sparsification-based approach may be found in [23]. (An example also appears in the next section, enroute to a persistence-based solution of a generalized problem.)

### 1.3.3 A persistence-based approach

Roughly speaking, we use persistence as follows: To solve a given generalized problem we first identify a different, but simpler, generalized problem and devise a data structure for it that also supports updates (usually just insertions). We then make this structure partially persistent [14] and query this persistent structure appropriately to solve the original problem.

We illustrate this approach for the generalized 3-dimensional range searching problem, where we are required to preprocess a set,  $S$ , of  $n$  colored points in  $\mathbb{R}^3$  so that for any query box  $q = [a, b] \times [c, d] \times [e, f]$  the  $i$  distinct colors of the points inside  $q$  can be reported efficiently. We first show how to build a semi-dynamic (i.e., insertions-only) data structure for the generalized versions of the quadrant searching and 2-dimensional range searching problems. These two structures will be the building blocks of our solution for the 3-dimensional problem.

#### Generalized semi-dynamic quadrant searching

Let  $S$  be a set of  $n$  colored points in the plane. For any point  $q = (a, b)$ , the *northeast quadrant* of  $q$ , denoted by  $NE(q)$ , is the set of all points  $(x, y)$  in the plane such that  $x \geq a$  and  $y \geq b$ . We show how to preprocess  $S$  so that for any query point  $q$ , the distinct colors of the points of  $S$  contained in  $NE(q)$  can be reported, and how points can be inserted into  $S$ . The data structure uses  $O(n)$  space, has a query time of  $O(\log^2 n + i)$ , and an amortized insertion time of  $O(\log n)$ . This solution is based on the sparsification approach described previously.

For each color  $c$ , we determine the  $c$ -maximal points. (A point  $p$  is called  $c$ -maximal if it has color  $c$  and there are no points of color  $c$  in  $p$ 's northeast quadrant.) We discard all points of color  $c$  that are not  $c$ -maximal. In the resulting set, let the predecessor,  $pred(p)$ , of a  $c$ -colored point  $p$  be the  $c$ -colored point that lies immediately to the left of  $p$ . (For the leftmost point of color  $c$ , the predecessor is the point  $(-\infty, \infty)$ .) With each point  $p = (a, b)$ , we associate the horizontal segment with endpoints  $(a', b)$  and  $(a, b)$ , where  $a'$  is the  $x$ -coordinate of  $pred(p)$ . This segment gets the same color as  $p$ . Let  $S_c$  be the set of such segments of color  $c$ . The data structure consists of two parts, as follows.

The first part is a structure  $\mathcal{T}$  storing the segments in the sets  $S_c$ , where  $c$  runs over all colors.  $\mathcal{T}$  supports the following query: given a point  $q$  in the plane, report the segments that are intersected by the upward-vertical ray starting at  $q$ . Moreover, it allows segments to be inserted and deleted. We implement  $\mathcal{T}$  as the structure given in [11]. This structure uses  $O(n)$  space, supports insertions and deletions in  $O(\log n)$  time, and has a query time of  $O(\log^2 n + l)$ , where  $l$  is the number of segments intersected.

The second part is a balanced search tree  $CT$ , storing all colors. For each color  $c$ , we maintain a balanced search tree,  $T_c$ , storing the segments of  $S_c$  by increasing  $y$ -coordinate. This structure allows us to dynamically maintain  $S_c$  when a new  $c$ -colored point  $p$  is inserted. The general approach (omitting some special cases; see [18]) is as follows: By doing a binary search in  $T_c$  we can determine whether or not  $p$  is  $c$ -maximal in the current set of  $c$ -maximal points, i.e., the set of right endpoints of the segments of  $S_c$ . If  $p$  is not  $c$ -maximal, then we simply discard it. If  $p$  is  $c$ -maximal, then let  $s_1, \dots, s_k$  be the segments of  $S_c$  whose left endpoints are in the southwest quadrant of  $p$ . We do the following: (i) delete  $s_2, \dots, s_k$  from  $T_c$ ; (ii) insert into  $T_c$  the horizontal segment which starts at  $p$  and extends leftwards upto the  $x$ -coordinate of the left endpoint of  $s_k$ ; and (iii) truncate the segment  $s_1$  by keeping only the part of it that extends leftwards upto  $p$ 's  $x$ -coordinate. The entire operation can be done in  $O(\log n + k)$  time.

Let us now consider how to answer a quadrant query,  $NE(q)$ , and how to insert a point

into  $S$ . To answer  $NE(q)$ , we query  $\mathcal{T}$  with the upward-vertical ray from  $q$  and report the colors of the segments intersected. The correctness of this algorithm follows from the easily proved facts that (i) a  $c$ -colored point lies in  $NE(q)$  if and only if a  $c$ -maximal point lies in  $NE(q)$  and (ii) if a  $c$ -maximal point is in  $NE(q)$ , then the upward-vertical ray from  $q$  must intersect a segment of  $S_c$ . The correctness of  $\mathcal{T}$  guarantees that only the segments intersected by this ray are reported. Since the query can intersect at most two segments in any  $S_c$ , we have  $l \leq 2i$ , and so the query time is  $O(\log^2 n + i)$ .

Let  $p$  be a  $c$ -colored point that is to be inserted into  $S$ . If  $c$  is not in  $CT$ , then we insert it into  $CT$  and insert the horizontal, leftward-directed ray emanating from  $p$  into a new structure  $T_c$ . If  $c$  is present already, then we update  $T_c$  as just described. In both cases, we then perform the same updates on  $\mathcal{T}$ . Hence, an insertion takes  $O((k+1)\log n)$  time.

What is the total time for  $n$  insertions into an initially empty set  $S$ ? For each insertion, we can charge the  $O(\log n)$  time to delete a segment  $s_i$ ,  $2 \leq i \leq k$ , to  $s_i$  itself. Notice that none of these segments will reappear. Thus each segment is charged at most once. Moreover, each of these segments has some previously inserted point as a right endpoint. It follows that the number of segments existing over the entire sequence of insertions is  $O(n)$  and so the total charge to them is  $O(n \log n)$ . The rest of the cost for each insertion ( $O(\log n)$  for the binary search plus  $O(1)$  for steps (ii) and (iii)) we charge to  $p$  itself. Since any  $p$  is charged in this mode only once, the total charge incurred in this mode by all the inserted points is  $O(n \log n)$ . Thus the time for  $n$  insertions is  $O(n \log n)$ , which implies an amortized insertion time of  $O(\log n)$ .

**LEMMA 1.2** Let  $S$  be a set of  $n$  colored points in the plane. There exists a data structure of size  $O(n)$  such that for any query point  $q$ , we can report the  $i$  distinct colors of the points that are contained in the northeast quadrant of  $q$  in  $O(\log^2 n + i)$  time. Moreover, if we do  $n$  insertions into an initially-empty set then the amortized insertion time is  $O(\log n)$ .

### Generalized semidynamic 2-dimensional range searching

Our goal here is to preprocess a set  $S$  of  $n$  colored points in the plane so that for any axes-parallel query rectangle  $q = [a, b] \times [c, d]$ , we can solve the semi-dynamic reporting problem efficiently.

Our solution is based on the quadrant reporting structure of Lemma 1.2. We first show how to solve the problem for query rectangles  $q' = [a, b] \times [c, \infty)$ . We store the points of  $S$  in sorted order by  $x$ -coordinate at the leaves of a  $BB(\alpha)$  tree  $T'$ . At each internal node  $v$ , we store an instance of the structure of Lemma 1.2 for  $NE$ -queries (resp.,  $NW$ -queries) built on the points in  $v$ 's left (resp., right) subtree. Let  $X(v)$  denote the average of the  $x$ -coordinate in the rightmost leaf in  $v$ 's left subtree and the  $x$ -coordinate in the leftmost leaf of  $v$ 's right subtree; for a leaf  $v$ , we take  $X(v)$  to be the  $x$ -coordinate of the point stored at  $v$ .

To answer a query  $q'$ , we do a binary search down  $T'$ , using  $[a, b]$ , until either the search runs off  $T'$  or a (highest) node  $v$  is reached such that  $[a, b]$  intersects  $X(v)$ . In the former case, we stop. In the latter case, if  $v$  is a leaf, then if  $v$ 's point is in  $q'$  we report its color. If  $v$  is a non-leaf, then we query the structures at  $v$  using the  $NE$ -quadrant and the  $NW$ -quadrant derived from  $q'$  (i.e., the quadrants with corners at  $(a, c)$  and  $(b, c)$ , respectively), and then combine the answers. Updates on  $T'$  are performed using the amortized-case updating strategy for  $BB(\alpha)$  trees [32]. The correctness of the method should be clear. The space and query time bounds follow from Lemma 1.2. Since the amortized insertion time of the quadrant searching structure is  $O(\log n)$ , the insertion in the  $BB(\alpha)$  tree takes amortized time  $O(\log^2 n)$  [32].

To solve the problem for general query rectangles  $q = [a, b] \times [c, d]$ , we use the above approach again, except that we store the points in the tree by sorted  $y$ -coordinates. At each internal node  $v$ , we store an instance of the data structure above to answer queries of the form  $[a, b] \times [c, \infty)$  (resp.  $[a, b] \times (-\infty, d]$ ) on the points in  $v$ 's left (resp. right) subtree. The query strategy is similar to the previous one, except that we use the interval  $[c, d]$  to search in the tree. The query time is as before, while the space and update times increase by a logarithmic factor.

**LEMMA 1.3** Let  $S$  be a set of  $n$  colored points in the plane. There exists a data structure of size  $O(n \log^2 n)$  such that for any query rectangle  $[a, b] \times [c, d]$ , we can report the  $i$  distinct colors of the points that are contained in it in  $O(\log^2 n + i)$  time. Moreover, points can be inserted into this data structure in  $O(\log^3 n)$  amortized time.

### Generalized 3-dimensional range searching

The semi-dynamic structure of Lemma 1.3 coupled with persistence allows us to go up one dimension and solve the original problem of interest: Preprocess a set  $S$  of  $n$  colored points in  $\mathbb{R}^3$  so that for any query box  $q = [a, b] \times [c, d] \times [e, f]$  the  $i$  distinct colors of the points inside  $q$  can be reported efficiently.

First consider queries of the form  $q' = [a, b] \times [c, d] \times [e, \infty)$ . We sort the points of  $S$  by non-increasing  $z$ -coordinates, and insert them in this order into a partially persistent version of the structure of Lemma 1.3, taking only the first two coordinates into account. To answer  $q'$ , we access the version corresponding to the smallest  $z$ -coordinate greater than or equal to  $e$  and query it with  $[a, b] \times [c, d]$ .

To see that the query algorithm is correct, observe that the version accessed contains the projections on the  $xy$ -plane of exactly those points of  $S$  whose  $z$ -coordinate is at least  $e$ . Lemma 1.3 then guarantees that among these only the distinct colors of the ones in  $[a, b] \times [c, d]$  are reported. These are precisely the distinct colors of the points contained in  $[a, b] \times [c, d] \times [e, \infty)$ . The query time follows from Lemma 1.3. To analyze the space requirement, we note that the structure of Lemma 1.3 satisfies the conditions given in [14]. Specifically, it is a pointer-based structure, where each node is pointed to by only  $O(1)$  other nodes. As shown in [14], any modification made by a persistent update operation on such a structure adds only  $O(1)$  amortized space to the resulting persistent structure. By Lemma 1.3, the total time for creating the persistent structure, via insertions, is  $O(n \log^3 n)$ . This implies the same bound for the number of modifications in the structure, so the total space is  $O(n \log^3 n)$ .

To solve the problem for general query boxes  $q = [a, b] \times [c, d] \times [e, f]$ , we follow an approach similar to that described for the 2-dimensional case: We store the points in a balanced binary search tree, sorted by  $z$ -coordinates. We associate with each internal node  $v$  in the tree the auxiliary structure described above for answering queries of the form  $[a, b] \times [c, d] \times [e, \infty)$  (resp.  $[a, b] \times [c, d] \times (-\infty, f]$ ) on the points in  $v$ 's left (resp. right) subtree. (Note that since we do not need to do updates here the tree need not be a  $BB(\alpha)$  tree.) Queries are done by searching down the tree using the interval  $[e, f]$ . The query time is as before, but the space increases by a logarithmic factor.

**THEOREM 1.7** Let  $S$  be a set of  $n$  colored points in 3-space.  $S$  can be stored in a data structure of size  $O(n \log^4 n)$  such that for any query box  $[a, b] \times [c, d] \times [e, f]$ , we can report the  $i$  distinct colors of the points that are contained in it in  $O(\log^2 n + i)$  time.

Additional applications of the persistence-based approach to generalized intersection problems can be found in [18, 19, 21].

### 1.3.4 A general approach for reporting problems

We describe a general method from [21] for solving any generalized reporting problem given a data structure for a “related” standard decision problem.

Let  $S$  be a set of  $n$  colored geometric objects and let  $q$  be any query object. In preprocessing, we store the distinct colors in  $S$  at the leaves of a balanced binary tree  $CT$  (in no particular order). For any node  $v$  of  $CT$ , let  $C(v)$  be the set of colors stored in the leaves of  $v$ 's subtree and let  $S(v)$  be the set of those objects of  $S$  colored with the colors in  $C(v)$ . At  $v$ , we store a data structure  $DEC(v)$  to solve the following *standard decision* problem on  $S(v)$ : “Decide whether or not  $q$  intersects any object of  $S(v)$ .”  $DEC(v)$  returns “true” if and only if there is an intersection.

To answer a generalized reporting query on  $S$ , we do a depth-first search in  $CT$  and query  $DEC(v)$  with  $q$  at each node  $v$  visited. If  $v$  is a non-leaf node then we continue searching below  $v$  if and only if the query returns “true”; if  $v$  is a leaf, then we output the color stored there if and only if the query returns “true”.

**THEOREM 1.8** *Assume that a set of  $n$  geometric objects can be stored in a data structure of size  $M(n)$  such that it can be decided in  $f(n)$  time whether or not a query object intersects any of the  $n$  objects. Assume that  $M(n)/n$  and  $f(n)$  are non-decreasing functions for non-negative values of  $n$ . Then a set  $S$  of  $n$  colored geometric objects can be preprocessed into a data structure of size  $O(M(n)\log n)$  such that the  $i$  distinct colors of the objects in  $S$  that are intersected by a query object  $q$  can be reported in time  $O(f(n) + i \cdot f(n)\log n)$ .*

**Proof** We argue that a color  $c$  is reported if and only if there is a  $c$ -colored object in  $S$  intersecting  $q$ . Suppose that  $c$  is reported. This implies that a leaf  $v$  is reached in the search such that  $v$  stores  $c$  and the query on  $DEC(v)$  returns “true”. Thus, some object in  $S(v)$  intersects  $q$ . Since  $v$  is a leaf, all objects in  $S(v)$  have the same color  $c$  and the claim follows.

For the converse, suppose that  $q$  intersects a  $c$ -colored object  $p$ . Let  $v$  be the leaf storing  $c$ . Thus,  $p \in S(v')$  for every node  $v'$  on the root-to- $v$  path in  $CT$ . Thus, for each  $v'$ , the query on  $DEC(v')$  will return “true”, which implies that  $v$  will be visited and  $c$  will be output.

If  $v_1, v_2, \dots, v_r$  are the nodes at any level, then the total space used by  $CT$  at that level is  $\sum_{i=1}^r M(|S(v_i)|) = \sum_{i=1}^r |S(v_i)| \cdot (M(|S(v_i)|)/|S(v_i)|) \leq \sum_{i=1}^r |S(v_i)| \cdot (M(n)/n) = M(n)$ , since  $\sum_{i=1}^r |S(v_i)| = n$  and since  $|S(v_i)| \leq n$  implies that  $M(|S(v_i)|)/|S(v_i)| \leq M(n)/n$ . Now since there are  $O(\log n)$  levels, the overall space is  $O(M(n)\log n)$ . The query time can be upper-bounded as follows: If  $i = 0$ , then the query on  $DEC(\text{root})$  returns “false” and we abandon the search at the root itself; in this case, the query time is just  $O(f(n))$ . Suppose that  $i \neq 0$ . Call a visited node  $v$  *fruitful* if the query on  $DEC(v)$  returns “true” and *fruitless* otherwise. Each fruitful node can be charged to some color in its subtree that gets reported. Since the number of times any reported color can be charged is  $O(\log n)$  (the height of  $CT$ ) and since  $i$  colors are reported, the number of fruitful nodes is  $O(i\log n)$ . Since each fruitless node has a fruitful parent and  $CT$  is a binary tree, it follows that there are only  $O(i\log n)$  fruitless nodes. Hence the number of nodes visited by the search is  $O(i\log n)$ . At each such node,  $v$ , we spend time  $f(|S(v)|)$ , which is  $O(f(n))$  since  $|S(v)| \leq n$  and  $f$  is non-decreasing. Thus the total time spent in doing queries at the visited nodes is

$O(i \cdot f(n) \log n)$ . The claimed query time follows. ■

As an application of this method, consider the generalized halfspace range searching in  $\mathbb{R}^d$ , for any fixed  $d \geq 2$ . For  $d = 2, 3$ , we discussed a solution for this problem in Section 1.3.2. For  $d > 3$ , the problem can be solved by extending (significantly) the ray-envelope intersection algorithm outlined in Section 1.3.2. However, the bounds are not very satisfactory— $O(n^{d \lfloor d/2 \rfloor + \epsilon})$  space and logarithmic query time or near-linear space and superlinear query time. The solution we give below has more desirable bounds.

The colored objects for this problem are points in  $\mathbb{R}^d$  and the query is a closed halfspace in  $\mathbb{R}^d$ . We store the objects in  $CT$ , as described previously. The standard decision problem that we need to solve at each node  $v$  of  $CT$  is “Does a query halfspace contain any point of  $S(v)$ .” The answer to this query is “true” if and only if the query halfspace is non-empty. We take the data structure,  $DEC(v)$ , for this problem to be the one given in [27]. If  $|S_v| = n_v$ , then  $DEC(v)$  uses  $O(n_v^{\lfloor d/2 \rfloor} / (\log n_v)^{\lfloor d/2 \rfloor - \epsilon})$  space and has query time  $O(\log n_v)$  [27]. The conditions in Theorem 1.8 hold, so applying it gives the following result.

**THEOREM 1.9** *For any fixed  $d \geq 2$ , a set  $S$  of  $n$  colored points in  $\mathbb{R}^d$  can be stored in a data structure of size  $O(n^{\lfloor d/2 \rfloor} / (\log n)^{\lfloor d/2 \rfloor - 1 - \epsilon})$  such that the  $i$  distinct colors of the points contained in a query halfspace  $Q^-$  can be reported in time  $O(\log n + i \log^2 n)$ . Here  $\epsilon > 0$  is an arbitrarily small constant.*

Other applications of the general method may be found in [21].

### 1.3.5 Adding range restrictions

We describe the general technique of [20] that adds a range restriction to a generalized intersection searching problem.

Let  $PR$  be a generalized intersection searching problem on a set  $S$  of  $n$  colored objects and query objects  $q$  belonging to a class  $Q$ . We denote the answer to a query by  $PR(q, S)$ . To add a *range restriction*, we associate with each element  $p \in S$  a real number  $k_p$ . In a range-restricted generalized intersection searching problem, denoted by  $TPR$ , a query consists of an element  $q \in Q$  and an interval  $[l, r]$ , and

$$TPR(q, [l, r], S) := PR(q, \{p \in S : l \leq k_p \leq r\}).$$

For example, if  $PR$  is the generalized  $(d - 1)$ -dimensional range searching problem, then  $TPR$  is the generalized  $d$ -dimensional version of this problem, obtained by adding a range restriction to the  $d$ th dimension.

Assume that we have a data structure  $DS$  that solves  $PR$  with  $O((\log n)^u + i)$  query time using  $O(n^{1+\epsilon})$  space and a data structure  $TDS$  that solves  $TPR$  for generalized (semi-infinite) queries of the form  $TPR(q, [l, \infty), S)$  with  $O((\log n)^v + i)$  query time using  $O(n^w)$  space. (Here  $u$  and  $v$  are positive constants,  $w > 1$  is a constant, and  $\epsilon > 0$  is an arbitrarily small constant.) We will show how to transform  $DS$  and  $TDS$  into a data structure that solves generalized queries  $TPR(q, [l, r], S)$  in  $O((\log n)^{\max(u, v, 1)} + i)$  time, using  $O((n^{1+\epsilon})$  space.

Let  $S = \{p_1, p_2, \dots, p_n\}$ , where  $k_{p_1} \geq k_{p_2} \geq \dots \geq k_{p_n}$ . Let  $m$  be an arbitrary parameter with  $1 \leq m \leq n$ . We assume for simplicity that  $n/m$  is an integer. Let  $S_j = \{p_1, p_2, \dots, p_{jm}\}$  and  $S'_j = \{p_{j(m+1)}, p_{j(m+2)}, \dots, p_{(j+1)m}\}$  for  $0 \leq j < n/m$ .

The transformed data structure consists of the following. For each  $j$  with  $0 \leq j < n/m$ , there is a data structure  $DS_j$  (of type  $DS$ ) storing  $S_j$  for solving generalized queries of the

form  $PR(q, S_j)$ , and a data structure  $TDS_j$  (of type  $TDS$ ) storing  $S'_j$  for solving generalized queries of the form  $TPR(q, [l, \infty), S'_j)$ .

To answer a query  $TPR(q, [l, \infty), S)$ , we do the following. Compute the index  $j$  such that  $k_{p_{(j+1)m}} < l \leq k_{p_{jm}}$ . Solve the query  $PR(q, S_j)$  using  $DS_j$ , solve the query  $TPR(q, [l, \infty), S'_j)$  using  $TDS_j$ , and output the union of the colors reported by these two queries. It is easy to see that the query algorithm is correct. The following lemma gives the complexity of the transformed data structure.

**LEMMA 1.4** The transformed data structure uses  $O(n^{2+\epsilon}/m + nm^{w-1})$  space and can be used to answer generalized queries  $TPR(q, [l, \infty), S)$  in  $O((\log n)^{\max(u, v, 1)} + i)$  time.

**THEOREM 1.10** Let  $S$ ,  $DS$  and  $TDS$  be as above. There exists a data structure of size  $O(n^{1+\epsilon})$  that solves generalized queries  $TPR(q, [l, r], S)$  in  $O((\log n)^{\max(u, v, 1)} + i)$  time.

**Proof** We will use Lemma 1.4 to establish the claimed bounds for answering generalized queries  $TPR(q, [l, \infty), S)$ . The result for queries  $TPR(q, [l, r], S)$  then follows from a technique, based on  $BB(\alpha)$  trees, that we used in Section 1.3.3.

If  $w > 2$ , then we apply Lemma 1.4 with  $m = n^{1/w}$ . This gives a data structure having size  $O(n^2)$  that answers queries  $TPR(q, [l, \infty), S)$  in  $O((\log n)^{\max(u, v, 1)} + i)$  time. Hence, we may assume that  $w = 2$ .

By applying Lemma 1.4 repeatedly, we obtain, for each integer constant  $a \geq 1$ , a data structure of size  $O(n^{1+\epsilon+1/a})$  that answers queries  $TPR(q, [l, \infty), S)$  in  $O((\log n)^{\max(u, v, 1)} + i)$  time. This claim follows by induction on  $a$ ; in the inductive step from  $a$  to  $a + 1$ , we apply Lemma 1.4 with  $m = n^{a/(a+1)}$ . ■

Using Theorem 1.10, we can solve efficiently, for instance, the generalized orthogonal range searching problem in  $\mathbb{R}^d$ . (Examples of other problems solvable via this method may be found in [20].)

**THEOREM 1.11** Let  $S$  be a set of  $n$  colored points in  $\mathbb{R}^d$ , where  $d \geq 1$  is a constant. There exists a data structure of size  $O(n^{1+\epsilon})$  such that for any query box in  $\mathbb{R}^d$ , we can report the  $i$  distinct colors of the points that are contained in it in  $O(\log n + i)$  time.

**Proof** The proof is by induction on  $d$ . For  $d = 1$ , the claim follows from Theorem 1.1. Let  $d \geq 2$ , and let  $DS$  be a data structure of size  $O(n^{1+\epsilon})$  that answers generalized  $(d - 1)$ -dimensional range queries in  $O(\log n + i)$  time. Observe that for the generalized  $d$ -dimensional range searching problem, there are only polynomially many distinct semi-infinite queries. Hence, there exists a data structure  $TDS$  of polynomial size that answers generalized  $d$ -dimensional semi-infinite range queries in  $O(\log n + i)$  time. Applying Theorem 1.10 to  $DS$  and  $TDS$  proves the claim. ■

## 1.4 Conclusion and future directions

We have reviewed recent research on a class of geometric query-retrieval problems, where the objects to be queried come aggregated in disjoint groups and of interest are questions concerning the intersection of the query object with the groups (rather than with the indi-

vidual objects). These problems include the well-studied standard intersection problems as a special case and have many applications. We have described several general techniques that have been identified for these problems and have illustrated them with examples.

Some potential directions for future work include: (i) extending the transformation-based approach to higher dimensions; (ii) improving the time bounds for some of the problems discussed here—for instance, can the generalized orthogonal range searching problem in  $\mathbb{R}^d$ , for  $d \geq 4$ , be solved with  $O(\text{polylog}(n) + i)$  query time and  $O(n(\log n)^{O(1)}n)$  space; (iii) developing general dynamization techniques for generalized problems, along the lines of, for instance, [5] for standard problems; (iv) developing efficient solutions to generalized problems where the objects may be in time-dependent motion; and (v) implementing and testing experimentally some of the solutions presented here.

## 1.5 Acknowledgement

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Portions of the material presented in this chapter are drawn from the authors' prior publications: References [18, 19, 20], with permission from Elsevier (<http://www.elsevier.com/>), and reference [21], with permission from Taylor & Francis (<http://www.tandf.co.uk>).

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